

Docket Number: 008312-0271598

PATENT APPLICATION

Client Reference: T4YK-00S0603

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

MINEHARU UCHIYAMA

Group Art Unit: 2652

Application No.: 09/616,364

Examiner: MICHAEL V. BATTAGLIA

Filed: July 13, 2000

Confirmation No.: 6820

For: OPTICAL HEAD DEVICE AND DISK DRIVE SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JUN 17 2004

Technology Center 2600

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(i)(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

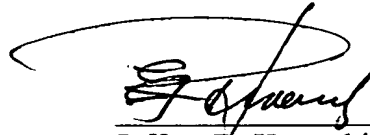
I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1).

06/15/2004 MAHME1 00000073 033975 09616364

01 FC:1806 180.00 DA

The references were cited in a counterpart foreign application in the attached Japanese Communication (Office Action) issued April 20, 2004. An English language version of the foreign communication is attached for the Examiner's information. Both the European Communication and the English Abstracts are relied upon to satisfy the requirement of a statement of relevance for the attached reference.

Respectfully submitted,

 47641

Jeffrey D. Karceski
Registration Number 35914
Telephone: (703) 905-2000
Facsimile: (703) 905-2500

Date: June 8, 2004

PILLSBURY WINTHROP LLP
Telephone: (703) 905-2000
Facsimile: (703) 905-2500
P.O. Box 10500
McLean, VA 22102



Atty.
Dkt. No.

M#

Client Ref.

0271598

T4YK-00S0603

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: Mineharu UCHIYAMA

Appln. No.: 09/616,364

Filing Date: July 13, 2000

Date: June 10, 2004

Page

1

of

1

Examiner: M. BATTAGLIA

Group Art Unit: 2652

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR					
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

RECEIVED

JUN 17 2004

Technology Center 2600

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
	OR 9-128794	05/1997	Japan	MORI et al.	X			X
	PR 4-212730	08/1992	Japan	KANEUMA	X			X
	QR							
	RR							
	SR							
	TR							
	UR							
	VR							
	WR							
	XR							

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

YR	Office Action, dated April 20, 2004 from the Japanese Patent Office for Patent Application No. 11-199464	X		X	
ZR					
AAR					
BBR					
CCR					

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.